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PATENT
Docket No. 360322000100

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:
Assistant Commissioner for Patents, Washington, D.C. 20231, on June 12, 2001.

C. Wilson
C. Wilson

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Christopher J. HELGESON et al.

Serial No.: 09/760,068

Filing Date: January 12, 2001

For: METHOD AND APPARATUS FOR A
BUSINESS APPLICATIONS SERVER
MANAGEMENT SYSTEM PLATFORM

Examiner: To Be Assigned

Group Art Unit: 2171

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SUPPLEMENTAL INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. § 1.97

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

This Information Disclosure Statement is submitted:

- ☒ Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.
- ☐ After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
 - ☐ A fee is required.
 - ☐ A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly; no fee is believed to be due.
- ☐ After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee. Accordingly, a Petition requesting consideration of the Information Disclosure Statement, an authorization to charge our deposit account, and a Certification under 37 C.F.R. § 1.97(e) are provided herein.

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Supplemental Information Disclosure Statement under 37 C.F.R. § 1.97 is to the best of my knowledge and is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, applicant petitions for any required relief including extensions of time and authorizes the Assistant Commissioner to

charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing 360322000100. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: June 12, 2001

Respectfully submitted,

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Form PTO-1449

Docket Number 360322000100

Application Number 09/760,068

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

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U.S. PATENT DOCUMENTS

| Examiner Initials | Ref. No. | Date | Document No. | Name | Class | Subclass | Filing Date If Appropriate |
|-------------------|----------|----------|--------------|---------------------|-------|----------|----------------------------|
| | 1. | 10/20/87 | 4,701,130 | Whitney et al. | | | |
| | 2. | 1/23/90 | 4,895,518 | Arnold et al. | | | |
| | 3. | 3/30/93 | 5,199,068 | Cox | | | |
| | 4. | 11/9/93 | 5,259,766 | Sack et al. | | | |
| | 5. | 12/7/93 | 5,267,865 | Lee et al. | | | |
| | 6. | 12/14/93 | 5,270,920 | Pearse et al. | | | |
| | 7. | 4/5/94 | 5,301,270 | Steinberg et al. | | | |
| | 8. | 5/10/94 | 5,310,349 | Daniels et al. | | | |
| | 9. | 5/10/94 | 5,311,422 | Loftin et al. | | | |
| | 10. | 7/5/94 | 5,326,270 | Ostby et al. | | | |
| | 11. | 1/10/95 | 5,381,332 | Wood | | | |
| | 12. | 3/7/95 | 5,395,243 | Lubin et al. | | | |
| | 13. | 6/6/95 | 5,421,730 | Lasker, III et al. | | | |
| | 14. | 3/12/96 | 5,499,293 | Behram et al. | | | |
| | 15. | 8/20/96 | 5,548,506 | Srinivasan | | | |
| | 16. | 1/28/97 | 5,597,312 | Bloom et al. | | | |
| | 17. | 8/5/97 | 5,655,118 | Heindel et al. | | | |
| | 18. | 6/2/98 | 5,761,063 | Jannette et al. | | | |
| | 19. | 6/9/98 | 5,765,140 | Knudson et al. | | | |
| | 20. | 8/4/98 | 5,788,504 | Rice et al. | | | |
| | 21. | 8/18/98 | 5,795,155 | Morrel-Samuels | | | |
| | 22. | 10/20/98 | 5,826,252 | Wolters, Jr. et al. | | | |
| | 23. | 11/3/98 | 5,829,983 | Koyama et al. | | | |

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

Form PTO-1449

Docket Number 360322000100

Application Number 09/760,068

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

(Use several sheets if necessary)

Applicant

Christopher S. HELGESON et al.

Filing Date

January 12, 2001

Group Art Unit

2171

Mailing Date

June 12, 2001

FOREIGN PATENT DOCUMENTS

| Examiner Initials | Ref. No. | Date | Document No. | Country | Class | Subclass | Translation YES NO | |
|----------------------|-------------|---------|--------------|---------|-------|----------|-----------------------|--|
| | 24. | 6/19/97 | 97/22096 | PCT | | | | |
| | 25. | 2/5/98 | 98/05018 | PCT | | | | |
| | 26. | 3/12/98 | 98/10399 | PCT | | | | |

OTHER DOCUMENTS

(including author, title, Date, Pertinent Pages, Etc.)

| Examiner Initials | Ref. No. | Title |
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